

# Grant Facility Focus and Selection Process

**Training Workshop on Grant Application Guidelines**

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# Introduction

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## NCCC

- The mandated government coordinating and policy support entity for all aspects of CC.
- Provides a unified engagement point for development partners.
- The NCCC Secretariat which is also known as Climate Change Department (CCD) has been operating a grant facility since 2011, to provide resources for CC capacity development and implementation at national and local level.

# Grant Facility Focus

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## Different Scenarios for Application

- **Window #1:** Grant facility for line ministries/agencies
- **Window #2:** Grant facility for central ministries/agencies (CC finance readiness)
- **Window #3:** Grant facility for research and development (to be launched later in 2015)

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# Grant Facility for Line Ministries (Window 1)

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- Total amount available: USD 2,250,000 (Round 1)
- Number of funding rounds: 2 (early 2015 and early 2016)
- Maximum duration of grant: 3 years
- Donors: EU, Sweden and UNDP

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# Grant Facility for Line Ministries (Window 1)

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- It is possible for an applicant to submit more than one project proposal, within its maximum grant allocation, but this practice is not encouraged.
- Joint applications involving two or more eligible ministries or agencies are strongly encouraged, as many climate change issues require a multi-disciplinary approach. In this case, the maximum grant allocation of these ministries/agencies may be combined into one, larger size project.

# Grant Facility for Line Ministries (Window 1)

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NCCC Trust Fund can support

- Full financing of a new project
- Co-financing of a new project
- Financing of new component(s) within existing projects

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# Eligible Applicant

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- Window #1 – Grant facility for line ministries/agencies
- Eligible institutions: Government ministries and agencies with an approved Climate Change Action Plan (CCAP)
- Initiatives aligned with the CCAP of the concerned ministry/agency;
- Both applicant and partners should bring their own resources (in kind or in cash) to the project. Use of the grant facility as co-financing to strengthen the climate-relevance existing or pipeline initiatives is strongly encouraged.



# Eligible Applicants

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- Applicant: Lead organization in the project that will sign the grant agreement, receives funds and reports on the use of funds. Only 1 Applicant per each project.
- Partner: organization(s) which will implement the project with the applicant. One project can have multiple partners.
- Grantee: Once the applicant is selected to receive funds from the NCCC Trust Fund, the applicant becomes a “Grantee”.

# Eligible Applicants

- Government entities must first identify appropriate coordination mechanisms including a designated NCCC member, CCTT member, and if possible a working group in charge of CCAP implementation. The application should be signed/sent by the NCCC member of the concerned ministry, and endorsed by the concerned minister
- Applicants must be able to satisfy the condition for indirect costs (no % fee, all costs must be directly budgeted and linked to the project)
- Organizations selected for funding shall enter into an agreement with the NCCC-Secretariat
- The NCCC Secretariat accepts concept notes and project proposals prepared in Khmer or English.
- If some ministries/agencies fail to apply to any of the rounds, their allocation will be redistributed among other participating ministries and agencies, based on needs and performance.

# Eligible Activities

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- Eligible activities must be in line with the Cambodia Climate Change Strategic Plan 2014-23, and included in the Climate Change Strategic Plan and Action Plan of the concerned ministry or agency.
- The proposed activities must demonstrate potential to act as catalysts for the mobilization of other sources of climate finance.

# Review Criteria

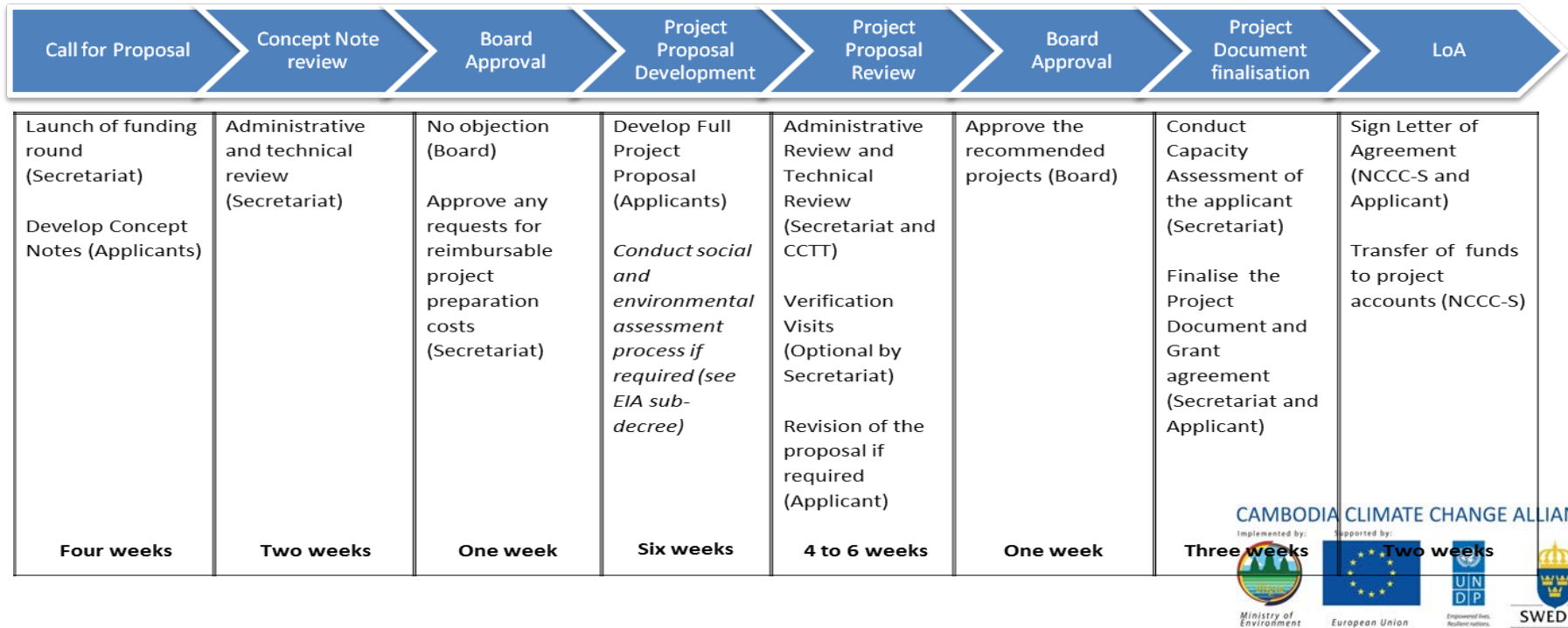
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- Strategic Alignment
- Partnership/Stakeholder participation
- Organisational capacity
- Commitment
- Target beneficiaries
- National ownership
- Capacity Development
- Cross-cutting issues
- Innovation
- Sustainability
- Cost effectiveness
- Social and environmental safeguards
- Project must clearly present the needs, activities/workplan, expected outputs/impacts, a strong M&E plan, risk analysis and a realistic budget estimation.

# Selection Process

- Selection process is divided into 2 steps:
  - **Concept Note Appraisal**
  - **Full Project Proposal Appraisal**
- Only those applicants who have passed the 1<sup>st</sup> step will be invited to participate in the 2<sup>nd</sup> step.

**Figure 1: Project Review and Approval Process**



# Selection Process

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## Concept Note

- The NCCC Secretariat will perform an **administrative review** of the concept notes, and inform the applicant if the application is incomplete.
- A substantive **technical review** of the quality of concept notes, including the soundness/relevance of project concept will be carried out by the NCCC-Secretariat (with independent external advice if required).
- Concept notes that have been recommended for full project proposal development are submitted to the Board for no objection. All applicants will be informed about their status at this point in time.

# Selection Process

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## Full Project Proposal

- The applicant is normally responsible for the costs of project development, including baseline survey and other requirements as per the project proposal template. If required, project development costs of up to USD 5,000 may be included in the project budget.
- The NCCC Secretariat will perform an **administrative review** of project proposals to check if the documentation is complete, and inform the applicant if documentation is missing, with a short deadline for submission of a complete application if required.
- It will be followed by an extensive **technical review** of project proposals, covering financial, technical and management aspects, which will be carried out by the NCCC-Secretariat (with independent external advice if required) .
- Projects that are recommended for funding are submitted to the Board for final approval, subject to a satisfactory capacity assessment.

# Selection Process

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## Capacity Assessment

- Once the project proposals are endorsed in principle, all grantees will be subject to capacity assessment which will be conducted by the Secretariat and determine payment modalities, monitoring arrangements from the Secretariat, and confirm applicable procedures and staffing arrangements.

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# Thanks for your attention

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